1Variation in Care for Patients Presenting with Hip Fracture in 6 High-Income2Countries: A Cross-Sectional Cohort Study

3 **Running title:** International Comparison of Hip Fractures

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54 **IMPACT STATEMENT**

55 Hip fracture poses a significant burden to the health and well-being of older people,

with 2.6 million cases projected annually worldwide by 2025. At the present time,

57 however, we have a limited understanding of how hip fracture treatment and

58 outcomes vary across countries. Based on nationally representative patient-level

59 data from six high-income countries, our study identified significant differences in

60 mortality rates, surgical treatment approaches, and hospital length-of-stay, among

- 61 other outcomes. The study highlights the need to investigate optimal treatment
- 62 strategies and the contribution of different aspects of care to mortality rates across
- 63 countries. We certify that this work is novel. It has important implications for
- 64 healthcare providers and policymakers in improving the quality and outcomes of hip 65 fracture care.

66 **KEY POINTS**

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- 67 1. There is substantial variation in mortality, surgical approaches, and health 68 system performance for hip fracture care across six high-income countries.
 - 2. The most common surgery performed was internal fixation, followed by hemiarthroplasty and total hip arthroplasty, but the rate of these and non-operative treatments varied substantially across countries.
- 72 3. The variation in surgical treatment highlights the need for additional research
 73 to determine the most effective surgical procedures based on individual
 74 patient and fracture characteristics.

75 Why does this matter?

76 The findings of this study have important implications for policymakers, healthcare providers, and researchers. By identifying the differences in hip fracture care across 77 78 countries, this study provides insights into opportunities for improvement and shared learning. Additionally, the study highlights the need to identify optimal treatment 79 strategies for hip fractures and investigate the factors contributing to higher mortality 80 rates in certain countries. As the global population continues to age, hip fractures are 81 expected to become more common, making it imperative to improve care and 82 outcomes for this patient population. 83

85 ABSTRACT

<u>Background:</u> Hip fractures are costly and common in older adults, but there is limited
understanding of how treatment patterns and outcomes might differ between
countries.

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90 <u>Methods:</u> We performed a retrospective serial cross-sectional cohort study of adults 91 aged \geq 66 years hospitalized with hip fracture between 2011 and 2018 in the US, 92 Canada, England, Netherlands, Taiwan, and Israel using population-representative 93 administrative data. We examined mortality, hip fracture treatment approaches (total 94 hip arthroplasty [THA], hemiarthroplasty [HA], internal fixation [IF], and non-95 operative), and health system performance measures, including hospital length of 96 stay (LOS), 30-day readmission rates and time-to-surgery.

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Results: The total number of hip fracture admissions between 2011-2018 ranged 98 99 from 23,941 in Israel to 1,219,696 in the US. In 2018, 30-day mortality varied from 100 3% (16% at 1-year) in Taiwan to 10% (27%) in the Netherlands. With regards to processes of care, the proportion of hip fractures treated with HA (range 23-45%) 101 102 and THA (0.2-10%) differed widely across countries. For example, in 2018, THA was used to treat approximately 9% of patients in England and Israel but less than 103 104 1% in Taiwan. Overall, IF was the most common surgery performed in all countries (40-60% of patients). IF was used in approximately 60% of patients in the US and 105 106 Israel but 40% in England. In 2018 rates of non-operative management ranged from 5% of patients in Taiwan to nearly 10% in England. Mean hospital LOS in 2018 107 108 ranged from 6.4 days (US) to 18.7 days (England). The 30-day readmission rate in

109	2018 ranged from 8% (Canada and Netherlands) to nearly 18% in England. The
110	mean days to surgery in 2018 ranged from 0.5 days (Israel) to 1.6 days (Canada).
111	Conclusions: We observed substantial between-country variation in mortality,
112	surgical approaches, and health system performance measures. These findings
113	underscore the need for further research to inform evidence-based surgical
114	approaches.
115 116	Keywords: Hip fracture, Osteoporosis, Longevity, Healthcare policy, International comparison
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134 INTRODUCTION

Hip fractures are costly and a common cause of morbidity and mortality in older
patients, with an expected annual cost of \$25.3 billion in the US (\$1.25 billion in
England).^{1–3} Despite improvements in surgical technique and postoperative
management, mortality within one year of a hip fracture remains high (14%-36%),
and survivors frequently do not return to their functional baseline.^{4–6} Moreover, the
aging population in high-income countries portends future increases in the number of
hip fractures.²

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The vast majority of older adults hospitalized with hip fractures undergo surgical 143 repair. However, a significant percentage (5%-15%) with limited functional status or 144 advanced illness may receive non-operative management with palliation.⁷⁻¹⁰ There 145 146 are three principal types of surgical repair approaches for hip fractures: total hip 147 arthroplasty (THA); hemiarthroplasty (HA); and internal fixation (IF), with non-148 operative management an option for those who are particularly frail. With very few randomized trials to guide the choice of surgery, treatment often depends upon 149 150 fracture type, surgeon preference, hospital capabilities (e.g., implant availability), and health system factors (e.g., regionalization, payment incentives for physicians and 151 hospitals).^{11–14} 152

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Hip fracture provides an ideal condition for international comparisons of hospitalbased care and outcomes because it is common, and virtually all patients require
hospitalization, minimizing selection effects that might be present for conditions
where hospitalization is discretionary. Studies comparing hip fracture treatment
across high-income countries are limited. Some were not nationally

- representative,^{15,16} limited to a small number of countries,^{15,17–19} or relied upon
- 160 aggregated data.^{2,20} Moreover, many studies have not evaluated between-country
- 161 differences in the repair procedure used.^{16,21,22}
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- 163 In this study from the International Health Systems Research Collaborative (IHSRC:
- 164 <u>https://projects.iq.harvard.edu/ihsrc/people</u>), we used nationally representative
- 165 patient-level data from six high-income countries (US, Canada, England,
- 166 Netherlands, Israel, and Taiwan) to identify older adults hospitalized with a hip
- 167 fracture between 2011-2018.^{23,24} We compared countries with respect to surgical
- 168 treatments (THA, HA, IF, non-operative), mortality, hospital length-of-stay (LOS), 30-
- 169 day readmission, discharge disposition, and days from presentation to surgery and
- 170 examined how rates changed over time.

172 **METHODS**

173 Data sources and study patients

174 In this retrospective serial cross-sectional cohort study, we identified patients aged 175 66 years and older who were hospitalized with a primary diagnosis of hip fracture 176 between January 1, 2011 and December 31, 2018 (2013-18 for the Netherlands). In 177 each consecutive year within the study period, we compared each nation separately, 178 using administrative data that broadly represent the population (Supplementary S1). 179 To identify patients hospitalized with hip fractures, we used established coding 180 algorithms based upon relevant ICD-9 and ICD-10 codes (Supplementary S2).²³ We allowed minor adaptations to the coding scheme to reflect differences between 181 countries. We applied identical inclusion and exclusion criteria in the same order in 182 each country, with slight country-specific exceptions. 183

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We excluded high-energy hip fractures ²⁵ (e.g., falls from significant heights, 185 186 vehicular trauma, etc.) and patients with hip fracture admission during the preceding 180-day period (to avoid counting readmissions as new admissions). We also 187 188 excluded small numbers of patients with missing age or sex, residence outside the jurisdiction of admission, and patients with less than one year of pre-admission or 189 190 post-admission follow-up data with the exception of those who died during follow-up (Supplementary S3). We also excluded US patients who were enrolled in Medicare 191 192 Advantage insurance plans for two or more months during the year before or after 193 hip fracture hospitalization because certain data elements may not be available. For patients transferred between hospitals, we evaluated the complete episode of care 194 from initial admission to final hospital discharge. Comorbid conditions were identified 195 from the index admission and prior hospitalizations in the year before the index 196

197 admission using an adaptation of the Elixhauser comorbidity measures.²⁶ In Israel,

198 comorbid diagnoses included those given in primary care ambulatory settings, as

199 medical record systems integrate both hospital and primary care visits.

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201 <u>Outcomes</u>

202 First, we evaluated mortality within 30-days and one-year of index hospital 203 admission. Second, we evaluated the percentage of patients with hip fractures 204 receiving each type of treatment (THA, HA, IF, and non-operative). For patients with 205 multiple procedures during the index admission, we assigned the most extensive 206 repair type first (THA>HA>IF), and patients were only deemed non-operative if they 207 lacked procedure codes for all surgical repair types. We also examined hospital 208 length of stay (LOS) and readmission within 30 days of discharge among those discharged alive. We also examined discharge disposition (home versus not) and 209 210 days from hospital admission to surgery (for those receiving surgery) in the four 211 countries (US, Canada, Netherlands, and Israel) that could provide these data.

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213 Statistical Analyses

We calculated the annual hip fracture rate as the number of hospitalizations per 214 215 1,000 population age > 66 years for each country and calendar year and directly 216 standardized to the age-sex distribution of the US age 66+ population in 2018.²⁷ We similarly used direct standardization to compare the outcomes specified above. For 217 218 simplicity, we report data from the first (2011) and last years (2018), with data for all 219 years in the supplementary appendix. We did not adjust our outcomes for comorbid conditions because of the implausibly large between-country differences in the 220 prevalence of comorbid conditions; these differences are less likely to reflect actual 221

differences in the hip fracture populations across countries but rather differences in
the financial incentives to code patient complexity.^{28,29} Furthermore, multiple studies
have shown that comorbid conditions have a little overall impact on hip fracture
outcomes above age and sex alone.³⁰

To evaluate the robustness of our results among patients with greater and lesser frailty, we performed subgroup analysis among individuals greater-than and less-than 90-years of age using age as a proxy for frailty and underlying health status, again standardized to the US 2018 sex distribution of these strata. This study intends to draw attention to the differences in hip fracture care patterns between countries over time and is descriptive in nature. Moreover, given our large sample size, we chose not to conduct formal statistical testing (e.g., reporting p values), cognizant of the potential for such testing to overemphasize clinically inconsequential differences.³¹ Our analyses were conducted using SAS (US, Canada, Taiwan) and R (England, Israel, Netherlands). Analyses were conducted locally in each country, and ethics approval was obtained following local guidelines.

249 **RESULTS**

250 *Patient populations*

The number of hip fracture admissions across the study period ranged from 23,941 251 252 in Israel to 1,219,696 in the US (Table 1 and Supplementary S4). The mean age was 83-84 years in most countries, but slightly younger in Taiwan; females comprised 253 254 71%-75% of the population, but somewhat less (64%-67%) in Taiwan (Table 1). 255 There were significant between-country differences in the recorded prevalence of comorbid conditions, including hypertension, diabetes, and hypothyroidism (Table 1). 256 In 2018, the age and sex-standardized annual incidence of hip fracture was 4.6 per 257 258 1,000 population in the US but was somewhat higher in Taiwan (6.3) and lower in England (3.6) (Supplementary S5). 259 260 261 Mortality Age and sex standardized 30-day and 1-year mortality varied widely between 262

countries (Figure 1 and Supplementary S6). In 2018, standardized 30-day mortality
was lowest in Taiwan (3.0%) and highest in the Netherlands (10.3%). One-year
mortality in 2018 was lowest in Taiwan (15.7%) and England (19.4%) and highest in
the US (26.2%) and the Netherlands (27.5%). Between 2011 and 2018, 1-year
mortality declined by between 0.4% and 1.5% in all countries except England (0.9%
increase).

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270 Surgical Approach

271 There were vast between-country differences in the treatment practices of hip

272 fractures (Figure 2 and Supplementary S7-8). For example, in 2018, THA was used

to treat 9.4% of hip fractures in England, and 9.1% of hip fractures in Israel but just

0.7% in Taiwan. Similarly, in 2018 HA was used to treat 39.1% of hip fractures in
England and Taiwan but 22.8% in Israel. In 2018 fixation was used to treat 50%60% of hip fractures in most countries but just 42.2% in England. The percentage of
patients treated non-operatively in 2018 ranged from 4.6% (Taiwan) to 9.7% in
England. Rates of non-operative management decreased from 2011-2018 in
England, Israel, and Taiwan (11.6% to 9.7% and 13.5% to 6.1%, 5.4% to 4.6%,
respectively), but increased in the remaining countries.

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282 Health system performance factors

In 2018 hospital LOS was shortest in the US (6.4 days) and longest in Canada (14.0 283 284 days) and England (18.7 days) (Figure 3). Between 2011 and 2018 the mean LOS decreased by at least one day in all countries except the Netherlands, with a 285 decrease of 3.6 days in England. The 2018 30-day hospital readmission rate was 286 287 lowest in Canada (7.8%) and highest in England (17.6%). Between 2011 and 2018, the 30-day readmission rate declined in four countries but increased in two (England 288 and Israel) (Figure 3). Among the four countries with available data, the mean days 289 290 between hospital admission and surgical repair in 2018 ranged between 0.5 days (Israel) to 1.6 days in Canada (1.1 in the US and 1.5 in England). (Figure 4). The 291 292 percentage of patients discharged home in 2018 was lowest in the US (9.6%) and 293 highest in Israel (59.3%) (Figure 4).

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295 Stratified Analysis by Age

296 Comparing patients aged below 90 and \geq 90 years demonstrated several noteworthy

- findings (Supplementary S9-10). First, utilization of THA was 40%-70% lower
- among patients aged 90 and above than among patients younger than 90, but these

299	findings showed substantial variation across countries. Second, the US showed the
300	largest difference in the use of non-operative management across the age groups,
301	increasing from \sim 3% in the <90 cohort to \sim 10% in those 90-and-older. In contrast,
302	several of the countries showed relatively stable rates of non-operative
303	management. For instance, rates of non-repair in the ≥90 versus <90 cohort in
304	Canada and England showed a 2% or lower difference. Third, 30-day mortality rates
305	were two times higher (or more) in the 90+ cohort versus those below 90 in all
306	countries.
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324 **DISCUSSION**

In this population-based study of patients hospitalized with acute hip fracture using 325 326 health administrative data from six high-income countries, several findings are 327 noteworthy. First, we observed substantial differences in both 30-day and one-year 328 mortality across the countries, despite the similarities in the age and sex distribution 329 of the populations. Second, there was marked between-country variation in the 330 types of surgical repair used, and rates of non-operative treatment varied by up to a factor of two in the most recent year. Third, we observed significant between-country 331 332 differences in hospital LOS, readmissions, the proportion of patients discharged home, and time from hospital presentation to surgery, suggesting substantial 333 334 opportunities for countries to improve the efficiency of care provision.

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336 Several findings deserve further discussion. First, the finding that one-year mortality 337 in certain countries including the US and the Netherlands was 10% higher than in 338 other countries is noteworthy. The finding of high mortality in the US is concerning in the context of other recent studies demonstrating that American patients hospitalized 339 340 with other conditions also seem to have significantly higher mortality than their international peers.^{22,23} There is an urgent need to better understand the specific 341 342 causes of this excess mortality in the US and to identify targets for intervention. The high mortality observed in the Netherlands is consistent with another recent study, 343 but as in the US, we do not understand the underlying causes.³² In contrast, the low 344 345 mortality observed in Taiwan is interesting and consistent with a recent study that found lower inpatient mortality for patients in Taiwan than in either Japan or Korea.¹⁹ 346 347

Second, despite an estimated more than 2.6 million hip fractures annually worldwide 348 349 by 2025, there remains substantial uncertainty about which type of surgical repair a 350 given patient should receive.³³ The choice of repair approach depends, in part, on 351 the anatomy of the fracture (e.g., fixation for nondisplaced or intertrochanteric 352 fractures versus THA or HA for displaced fractures of the femoral neck); age and 353 functional status also are important considerations, with total hip arthroplasty 354 generally reserved for younger, healthier patients.^{11,34} Though the HEALTH study found no appreciable benefit over two years for THA v. HA, we find wide variation in 355 356 rates of THA and HA across the IHSRC countries. We also see rates of IF that vary by as much as 20%, which seems unlikely to be driven by differences in fracture 357 epidemiology across countries. Thus, our study highlights the urgent need for more 358 randomized and comparative effectiveness trials to better understand the optimal 359 treatment approaches for hip fracture in older adults.^{11,12,35} 360

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362 In the context of the limited evidence to guide the choice of repair strategies, it is essential to consider how best to interpret the between-country variation we 363 364 observed. We suspect that the large between country variation reflects differences in surgical team preferences and experience and health system financing and 365 366 organization within each country. This pertains especially to patients for which several surgical options can be considered, as in the case of nondisplaced cervical 367 fractures or the choice between THA and HA for displaced fractures.^{11,12} Looking at 368 369 specific countries is particularly interesting; in 2018 England had the highest use of THA (9.4% of fractures), HA (39.1% of fractures), and non-operative management 370 (9.7% of fractures) but far lower use of internal fixation (42.2%) than all other 371 countries. Fixation is typically considered the least complex and least expensive 372

373 surgical option, with HA being intermediate, and THA being the most complex,

374 requiring significantly more time and more costly implants than the other options but
375 potentially better outcomes for younger and healthier patients. Our results suggest a
376 more treatment-intensive approach for most patients in England, paired with a higher
377 rate of non-operative management, presumably reserved for the frailest patients. In
378 contrast, in 2018, Taiwan had the lowest rate of both THA (0.7%) and non-operative
379 management (4.6%) but higher use of both HA and fixation.

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381 We doubt that these differences can be attributed to country-specific clinical factors such as differences in fracture anatomy or patient complexity, given our rigorous 382 study protocol designed to capture the whole hip fracture population in each country. 383 Furthermore, our surgical procedure rates were age and sex standardized to 384 385 enhance comparability. Instead, we suspect that policy decisions, such as how care 386 is organized, reimbursed, and incentivized play an important role. Since 2010, hospitals in England have been receiving a supplement for patient care that meets 387 six clinical standards under the 'Best Practice Tariff' (BPT) program.³⁶ These include 388 389 a timed surgery within 36 hours, geriatric and rehabilitation specialist evaluation, and admission according to joint assessment protocol. Israel reduced non-operative rates 390 by over half over the study period, possibly due to increased awareness of the 391 importance of surgical repair and accompanying changes to direct payments for 392 repair, particularly for THA, which tripled in rate.^{37,38} In contrast, the Taiwanese 393 394 reimbursement system may not adequately incentivize surgeons and hospitals to perform THA and HA, resulting in higher rates of less complex fixation.¹⁹ In the US, 395 modest rates of THA and higher rates of IF may well reflect discordance between the 396 high amount of surgeon effort required to perform THA relative to reimbursement.³⁹ 397

398 In aggregate, the variation that we see likely reflects the more intentional design of 399 hip fracture management programs and reimbursement models in certain countries 400 combined with a lack of compelling data to generate strong international consensus 401 on the best approaches. Moreover, it is essential to acknowledge that variations in 402 non-operative rates are influenced by factors such as differing perspectives among 403 surgeons, the availability of palliative care, and cultural and religious preferences 404 surrounding end-of-life treatment.⁷ These factors likely contribute to the variation in 405 non-operative management we observed and emphasize the need for future 406 research to prioritize addressing them at a national level.

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408 Third, it is important to consider health system performance measures. In 2018 409 mean hospital LOS ranged from 6.4 days in the US to 18.7 days in England, while 30-day readmissions ranged from 7.8% in Canada to 17.6% in England. The US 410 411 (2018 LOS 6.0 days, readmission rate 11.6%) and the Netherlands (2018 LOS 8.0 412 days, readmission rate 8.0%) were both notable for short hospital LOS and low 413 readmission rates. In the US, the short LOS is made possible by the high availability 414 of skilled nursing facilities (SNFs). Alternatively, England's combination of prolonged hospital LOS and high readmission rates is likely reflective of misaligned incentives 415 416 for either hospitals or surgeons and suggest significant opportunities for 417 improvement from a system perspective. It is noteworthy that there was no clear 418 relationship between LOS and readmission rates across the countries. This suggests 419 that other factors, such as post-discharge care arrangements or patient 420 characteristics, may be more prominent in determining readmission rates. Our finding that certain countries commonly discharged patients to post-acute care while 421 422 others discharged patients home is also important; in Israel, 59% of patients were

discharged home compared to 10% in the US and 19% in Canada. These 423 424 differences likely reflect each country's availability of and funding for post-acute care 425 and the expectations of patients and their families. In Israel, the high proportion of 426 patients discharged home contributes to Israel's achieving good health outcomes 427 while simultaneously spending only 7.5% of gross domestic product (GDP) on 428 healthcare. In contrast, patients in the US were rarely discharged home, which is 429 consistent with a country that spends nearly 20% of its GDP on healthcare.⁴⁰ 430 Notably, decreases in the use of post-acute care under CMS's Comprehensive Joint 431 Replacement and Accountable Care Organization bundled payment programs 432 suggest that misaligned incentives for hospitals contribute to high rates of use in the US.41,42 433

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435 Finally, there is convincing evidence that timely surgical repair is associated with improved patient outcomes,^{5,43–50} and timely surgery is increasingly incentivized and 436 monitored by payers and government regulators.^{44,51,52} Our finding that the time 437 from hospital presentation to surgery in 2018 ranged between 0.5 days in Israel to 438 439 1.6 and 1.5 days in Canada and England, respectively, is noteworthy and suggests significant opportunities for improvement. In 2004 Israel introduced a payment model 440 that rewarded hospitals for surgical repair completed within 48-hours, but penalized 441 hospitals with unjustified delays.⁵² Interestingly, while both Canada and England 442 have recommendations and guidelines advocating early repair, ^{53,54} the financial 443 444 incentives in both countries are less tangible and direct, which may explain the differences that we observed. 445

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Our study has several limitations that should be acknowledged. First, our data are 447 448 based on health administrative records; we lacked detailed clinical information on 449 fracture subtype (i.e., cervical vs. intertrochanteric) as well as patient complexity and 450 acuity that could influence treatment decisions. However, our large population-451 representative cohorts and detailed inclusion and exclusion criteria make it unlikely 452 that widespread between-country differences in fracture subtype or patient 453 complexity could explain our findings; moreover, we standardized for age and sex, 454 thus adding further strength to our results. Second, although we use population-455 representative patient-level administrative data, we lacked data from 2011 and 2012 in the Netherlands and data about time-to-surgery and discharge disposition in 456 England and Taiwan. Finally, we limited our study to hip fracture patients aged 66 457 years or older due to data availability in the US. However, most hip fractures occur in 458 459 this age group.¹ Thus, the findings may not be generalizable to younger patients or 460 those covered by private insurance or Medicare-managed care in the US.

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462 Conclusion

We discovered substantial between-country variation in mortality, in addition to similarly large differences in surgical approaches and health system performance measures. The study findings emphasize the need for further research that can provide objective evidence for the superiority of specific surgeries based on patient clinical conditions.

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498 Sponsor's Role

- 499 The funders had no role in study design, data collection and analysis, the decision to
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501 Author Contributions

- 502 Study Conceptualization: NB, BL, LH, PB, AB, VN, DK, PC. Statistical analysis: NB,
- 503 LH, SAA, YCC, CF, RH, NH, LP, FQ, GW. Acquisition of data: BL, AB, NH, DK, LL,
- 504 VN, MG, TS, CUDG, PC. Obtaining funding: PC, BL. Writing Original Draft
- 505 Preparation: NB. Writing Review & Editing: All.

506 **Declaration of Conflict of Interest**

507 We declare no competing interests.

508 Data Sharing

- 509 Data are largely unavailable because of the privacy regulations of participating
- 510 jurisdictions.
- 511 Ethics Committee Approval
- 512 Approvals from each country can be found in the supplementary appendix.

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717 LEGENDS

- Table 1: Socio-demographic characteristics and select comorbid conditions for patients
 hospitalized with hip fracture in 2011 and 2018 in the US, Canada (Ontario and
 Manitoba), England, Netherlands (2013 and 2018), Israel, and Taiwan.
- Figure 1: Age- and sex-standardized 30-day and 1-year mortality, 2011 and 2018.
- Figure 2: Age- and sex-standardized rates of total hip arthroplasty (THA), hemiarthroplasty
 (HA), internal fixation (IF), and non-operative management (non-op) after
 hospitalization for hip fracture, 2011 and 2018.
- Figure 3: Age- and sex-standardized length of stay and 30-day readmissions rates, 2011 and 2018.
- Figure 4: Age- and sex-standardized percentage of patients discharged to home and days from presentation to operation, 2011 and 2018.